

Abnormal number of Nambu-Goldstone bosons in the color-asymmetric 2SC phase of an NJL-type model

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We consider an extended Nambu-Jona-Lasinio model including both ($q\bar{q}$)- and (qq)-interactions with two light-quark flavors in the presence of a single (quark density) chemical potential. In the color superconducting phase of the quark matter the color $SU_c(3)$ symmetry is spontaneously broken down to $SU_c(2)$. If the usual counting of Goldstone bosons would apply, five Nambu-Goldstone (NG) bosons corresponding to the five broken color generators should appear in the mass spectrum. Unlike that expectation, we find only three gapless diquark excitations of quark matter. One of them is an $SU_c(2)$ -singlet, the remaining two form an $SU_c(2)$ -(anti)doublet and have a quadratic dispersion law in the small momentum limit. These results are in agreement with the Nielsen-Chadha theorem, according to which NG-bosons in Lorentz-noninvariant systems, having a quadratic dispersion law, must be counted differently. The origin of the abnormal number of NG-bosons is shown to be related to a nonvanishing expectation value of the color charge operator Q_8 reflecting the lack of color neutrality of the ground state. Finally, by requiring color neutrality, two massive diquarks are argued to become massless, resulting in a normal number of five NG-bosons with usual linear dispersion laws.

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I. INTRODUCTION

It is well known that, in accordance with the Goldstone theorem [1, 2], N Nambu-Goldstone (NG) bosons appear in Lorentz-invariant systems if an internal continuous symmetry group \mathcal{G} is spontaneously broken down to a subgroup \mathcal{H} (here N is the number of generators in the coset space \mathcal{G}/\mathcal{H}), i. e. the number of NG-modes is equal to the number of broken generators. However, in Lorentz-noninvariant systems the number of NG-bosons can be less than N . In this case, the counting of NG-bosons is regulated by the Nielsen-Chadha (NC) theorem [3]: Let n_1 and n_2 be the numbers of gapless excitations that in the limit of long wavelengths have the dispersion laws $E \sim |\vec{p}|$ and $E \sim |\vec{p}|^2$, respectively, then $N \leq n_1 + 2n_2$. (Here, E is the energy and \vec{p} is the three-momentum of the particle.) In particular, this theorem is valid for relativistically covariant theories as well, since in this case: i) the total number of NG-bosons equals N , the number of broken symmetry generators [2]; ii) evidently, the dispersion law for these N massless excitations looks like $E \sim |\vec{p}|$, thus $N = n_1$.

Recently, in some relativistic models describing the dynamics of the kaon condensate in the color-flavor-locked phase of dense quark matter, an abnormal number of NG-bosons has been revealed [4, 5]. Since the Lorentz invariance is broken in this case and some of the gapless excitations have a quadratic dispersion law, there are no contradictions with neither Goldstone nor NC theorems. The superfluid ${}^3\text{He}$ in the A-phase [6] and ferromagnets [7, 8] are other known examples of condensed-matter systems with an abnormal number of NG-bosons.

In the present paper, we demonstrate the abnormal number of NG-bosons in the dense color superconducting phase (2SC) of quark matter for a simple version of the Nambu-Jona-Lasinio (NJL) model with two light quarks and a single (quark number) chemical potential. In this phase, which can be realized naturally only at sufficiently large

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values of the chemical potential ($300 \text{ MeV} \leq \mu < 1 \text{ GeV}$) , the initial color $SU_c(3)$ symmetry is spontaneously broken down to the $SU_c(2)$ group. Hence, in accordance with the usual counting of the Goldstone theorem, one might expect five NG-bosons, corresponding to the five broken symmetry generators, to appear in the NJL model. We shall prove that in the 2SC phase only three gapless excitations that can be identified with NG-bosons appear. Two of them have a quadratic dispersion law, thus yielding no contradiction with the NC theorem. For further applications, notice also the following important criterion which is sufficient for the equality between the number of NG-bosons and the number of broken generators [5]: If $Q_i, i = 1, \dots, N$ is the full set of broken generators and if $\langle [Q_i, Q_j] \rangle = 0$ for any pair (i, j) , then the number of NG-bosons is equal to the number N of broken generators.

Recall, that there is an alternative approach to study color superconductivity, which is based on the weak coupling perturbative QCD [9]. In this case, using the Schwinger-Dyson equation with one gluon exchange, the color superconducting phase was proved to exist at asymptotically high densities. In the two-flavored QCD investigations of color superconductivity five scalar NG-bosons are shown to exist, which are mixing with gluons and required as longitudinal components for five massive gluons (color Meissner effect) [10]. Hence, the results concerning the number of NG-bosons of both the QCD and the NJL model approaches seem to be in contradiction¹. The origin of the above discrepancy is related to the fact that the ground state of the considered simple NJL-type model is not color-neutral. Indeed, since the expectation value of the color charge operator Q_8 is non-vanishing, $\langle Q_8 \rangle \neq 0$, the criterion [5] is not applicable, and an abnormal number of NG-bosons must arise. On the other hand, in quark models with a color-neutral ground state, according to the above criterion, there should arise a normal number of five NG-bosons all having normal (linear) dispersion laws. Most interestingly, we find from the analysis of the mass spectrum in our model that the masses of two scalar diquarks are proportional to the ground state expectation value of the color charge $\langle Q_8 \rangle$. Thus, when color neutrality, i. e. $\langle Q_8 \rangle = 0$, is imposed in NJL-type models as an additional condition (which can be realized by introducing a color-chemical potential, μ_8 , "by hand" [11]), then two additional massless particles should appear, and the total number of NG-bosons would be equal to five. Recently, it was shown that the ground state of the 2SC phase of QCD is automatically color-neutral [12] due to a dynamical generation of the color chemical potential μ_8 by gluon condensation. So, we see that the original discrepancy in the number of NG-bosons between the QCD approach and an extended NJL approach would disappear.

The paper is organized as follows. In Section II we investigate the considered NJL-type model in the framework of the Nambu-Gor'kov formalism and derive an effective meson-diquark action for a finite chemical potential. Moreover, the gap equations for the quark and diquark condensates are numerically studied. Sections III and IV contain a detailed analysis of the massless and massive excitations in the diquark sectors and explicitly show the influence of the color properties of the ground state on the diquark mass spectrum. Section V contains a summary and discussions. Finally, dispersion laws for gapless diquarks are derived in Appendix.

II. THE MODEL AND ITS EFFECTIVE ACTION

It is well known that perturbative methods are not applicable in the low-energy/density QCD regions. Instead, effective field theories are usually considered. The most popular effective theories are based on Lagrangians with four-fermion interactions, like the NJL-type models. Let us first give several (very approximate) arguments somehow justifying the chosen structure of our QCD-motivated NJL model introduced below. For this aim, consider two-flavor QCD with a nonzero chemical potential and the color group $SU_c(3)$. By integrating in the generating functional of QCD over gluons and further "approximating" the nonperturbative gluon propagator by a δ -function, one arrives at an effective local chiral four-quark interaction of the NJL type describing low-energy hadron physics. Finally, by performing a Fierz transformation of the interaction term and taking into account only scalar and pseudo-scalar ($\bar{q}q$)-as well as scalar (qq)-interaction channels, one obtains a four-fermionic model given by the following Lagrangian (in Minkowski space-time notation)²

$$L = \bar{q}[\gamma^\nu i\partial_\nu + \mu\gamma^0 - m_o]q + G_1[(\bar{q}q)^2 + (\bar{q}i\gamma^5\vec{\tau}q)^2] + G_2[\bar{q}^C\epsilon^\delta\gamma^5\tau^2q][\bar{q}\epsilon^\delta\gamma^5\tau^2q^C]. \quad (1)$$

In (1), $\mu \geq 0$ is the quark chemical potential, which in isotopically symmetric quark matter is the same for both quark flavors, $q^C = C\bar{q}^t$, $\bar{q}^C = q^tC$ are charge-conjugated spinors, and $C = i\gamma^2\gamma^0$ is the charge conjugation matrix (the symbol t denotes the transposition operation). The quark field $q \equiv q_{i\alpha}$ is a flavor doublet and color triplet as well as a four-component Dirac spinor, where $i = 1, 2$; $\alpha = 1, 2, 3$. (Latin and Greek indices refer to flavor and color indices, respectively; spinor indices are omitted.) Furthermore, we use the notations $\vec{\tau} \equiv (\tau^1, \tau^2, \tau^3)$ for Pauli matrices in flavor space; $(\epsilon^\delta)^{\alpha\beta} \equiv \epsilon^{\alpha\beta\delta}$ is the totally antisymmetric tensor in color space, respectively. Clearly, Lagrangian

¹ Of course, it is necessary to point out that the QCD weak coupling considerations are valid at asymptotic values of the chemical potential, i. e. at $\mu > 1 \text{ GeV}$, whereas NJL model results are correct for sufficiently lower values $\mu < 1 \text{ GeV}$. Thus, a direct comparison of results seems to be problematic.

² The most general Fierz transformed four-fermion interaction includes additional vector and axial-vector ($\bar{q}q$) as well as pseudo-scalar, vector and axial-vector-like (qq)-interactions. However, these terms are omitted here for simplicity.

(1) is invariant under the chiral $SU(2)_L \times SU(2)_R$ (at $m_o = 0$) and color $SU_c(3)$ symmetry groups. The physics of light mesons [13, 14, 15, 16], diquarks [17, 18] and meson-baryon interactions [19, 20] was successfully described in the framework of different NJL models. These effective theories were involved for the investigation of both ordinary [21, 22, 23], hot and dilute [15], and color superconducting dense quark matter [24, 25, 26, 27]. Usually, on the basis of light-meson and baryon phenomenology, the following restrictions on the coupling constants are considered

$$G_1 > \pi^2/(6\Lambda^2), \quad G_2 < G_1, \quad (2)$$

where Λ is the cutoff parameter in the three-dimensional momentum space, necessary to eliminate the ultraviolet divergences appearing when quantum effects (loops) are taken into account (usually $\Lambda < 1$ GeV). Since (1) is a low-energy effective theory for QCD, the external parameter μ is restricted from the above: $\mu < \Lambda$. In the region of coupling constants (2) and at small values of μ (the case of low baryon densities), only the operator $\bar{q}q$ has a nonzero vacuum expectation value. Thus, the chiral symmetry of the model is spontaneously broken in this case, while $SU_c(3)$ remains intact. The behavior of quark and meson masses is established rather well in quark matter of low density [21, 22]. In particular, the number of π -mesons, which are the three NG-bosons in this phase, is equal to the number of broken symmetry generators. Hence, this example is a good demonstration of the fact that Lorentz noninvariance is a necessary but not sufficient condition for the abnormal number of NG-bosons to appear in the system. At sufficiently high values of the chemical potential $\mu \sim 300 \div 350$ MeV, the two-flavor color superconductivity phase occurs in model (1) [26]. So, a nonzero diquark condensate ($\langle \bar{q}q \rangle \neq 0$) is formed in this case, and, as a consequence, color symmetry is spontaneously broken down to the $SU_c(2)$ subgroup. A naive counting gives us five NG-bosons in this case (it is just the number of broken generators of the $SU_c(3)$ group). However, as it will be shown further, there are only three gapless bosonic excitations of the 2SC quark-matter ground state. Two of them satisfy the quadratic dispersion law, in agreement with the NC theorem.

The linearized version of Lagrangian (1) that contains auxiliary bosonic fields has the following form

$$\begin{aligned} \tilde{L} = & \bar{q}[\gamma^\nu i\partial_\nu + \mu\gamma^0 - \sigma - m_o - i\gamma^5 \vec{\tau} \vec{\pi}]q - \frac{\sigma^2 + \vec{\pi}^2}{4G_1} - \\ & - \frac{\Delta^{*\delta}\Delta^\delta}{4G_2} + \frac{i\Delta^{*\delta}}{2}[\bar{q}^C \epsilon^\delta \gamma^5 \tau^2 q] - \frac{i\Delta^\delta}{2}[\bar{q} \epsilon^\delta \gamma^5 \tau^2 q^C]. \end{aligned} \quad (3)$$

Lagrangians (1) and (3) are equivalent on the equations of motion for bosonic fields, from which it follows that

$$\Delta^\delta \sim \bar{q}^C \epsilon^\delta \gamma^5 q, \quad \sigma \sim \bar{q}q, \quad \vec{\pi} \sim i\bar{q}\gamma^5 \vec{\tau}q. \quad (4)$$

However, it is more convenient to start our consideration from Lagrangian (3). Clearly, the σ and $\vec{\pi}$ fields are color singlets. Besides, the (bosonic) diquark field Δ^δ is a color antitriplet and a (isoscalar) singlet under the chiral $SU(2)_L \times SU(2)_R$ group. Note further that σ and Δ^δ are (Lorentz) scalars, but $\vec{\pi}$ are pseudoscalar fields. Hence, if $\langle \sigma \rangle \neq 0$, then the chiral symmetry of the model is spontaneously broken (at $m_o = 0$), whereas $\langle \Delta^\delta \rangle \neq 0$ indicates the dynamical breaking of color symmetry. In the framework of the Nambu–Gor’kov formalism (for a recent relativistic treatment see, e.g. ref. [28]) quark fields are represented by a bispinor $\Psi = \begin{pmatrix} q \\ q^C \end{pmatrix}$. Integrating in the generating functional based on the Lagrangian (3) over the quark fields, one obtains an effective meson-diquark action of the original model (1)

$$S_{\text{eff}}(\sigma, \vec{\pi}, \Delta^\delta, \Delta^{*\delta}) = - \int d^4x \left[\frac{\sigma^2 + \vec{\pi}^2}{4G_1} + \frac{\Delta^\delta \Delta^{*\delta}}{4G_2} \right] - \frac{i}{2} \text{Tr}_{sf cx} \ln \begin{pmatrix} D^+ & K^- \\ K^+ & D^- \end{pmatrix}. \quad (5)$$

Besides of an evident trace over the two-dimensional Nambu–Gor’kov matrix, the Tr-operation in (5) stands for calculating the trace in spinor- (s), flavor- (f), color- (c) as well as four-dimensional coordinate- (x) spaces, correspondingly. We have used also the following notations:

$$\begin{aligned} K^+ &= i\Delta^{*\delta} \epsilon^\delta \gamma^5, & K^- &= -i\Delta^\delta \epsilon^\delta \gamma^5, \\ D^\pm &= i\gamma^\nu \partial_\nu \pm \mu\gamma^0 - m_o - \Sigma^\pm, & \Sigma^\pm &= \sigma \pm i\gamma^5 \vec{\pi} \vec{\tau}. \end{aligned} \quad (6)$$

Let us introduce notations for the ground state expectation values of the meson and diquark fields: $\langle \sigma \rangle = \sigma_o$, $\langle \vec{\pi} \rangle = \vec{\pi}_o$, $\langle \Delta^{*\delta} \rangle = \Delta_o^{*\delta}$, $\langle \Delta^\delta \rangle = \Delta_o^\delta$ and

$$(K_o^\pm, D_o^\pm, \Sigma_o^\pm) = (K^\pm, D^\pm, \Sigma^\pm) \Big|_{\sigma=\sigma_o, \vec{\pi}=\vec{\pi}_o, \Delta^\delta=\Delta_o^\delta, \dots}$$

The quantities $\sigma_o, \pi_o^k, \Delta_o^\delta, \Delta_o^{*\delta}$ ($k, \delta = 1, 2, 3$) correspond to the global minimum of the effective potential V_{eff} and can be found as a solution of the system of equations

$$\frac{\partial V_{\text{eff}}}{\partial \pi^k} = 0, \quad \frac{\partial V_{\text{eff}}}{\partial \sigma} = 0, \quad \frac{\partial V_{\text{eff}}}{\partial \Delta^\delta} = 0, \quad \frac{\partial V_{\text{eff}}}{\partial \Delta^{*\delta}} = 0, \quad (7)$$

usually called gap equations. In (7) we used the following definition of the effective potential:

$$\mathcal{S}_{\text{eff}} \Big|_{\sigma, \vec{\pi}, \Delta^\delta, \Delta^{\delta*} = \text{const}} = -V_{\text{eff}}(\sigma, \vec{\pi}, \Delta^\delta, \Delta^{\delta*}) \int d^4x, \quad (8)$$

where, in the spirit of the mean-field approximation, all fields are considered to be independent of x . Without lossing any generality of the consideration, one can search for a solution of equations (7) in the form: $(\Delta_o^1, \Delta_o^2, \Delta_o^3) \equiv (0, 0, \Delta)$. Moreover, we suppose also that $\vec{\pi}_o = 0$. (The last assumption is maintained by the observation that in the theory of strong interactions P-parity is a conserved quantity.) If $\Delta = 0$, the color symmetry of the model remains intact. If $\Delta \neq 0$, then $SU_c(3)$ symmetry is spontaneously broken down to $SU_c(2)$, and the 2SC phase is realized in the model. In this case, the system of gap equations (7) is reduced to the following one:

$$\frac{\sigma_o}{2G_1} = 4iM \int \frac{d^4q}{(2\pi)^4 E} \left\{ \frac{E^+}{q_0^2 - (E^+)^2} + \frac{E^-}{q_0^2 - (E^-)^2} + \frac{2E^+}{D_+(q_0)} + \frac{2E^-}{D_-(q_0)} \right\}, \quad (9)$$

$$\frac{\Delta}{4G_2} = 4i\Delta \int \frac{d^4q}{(2\pi)^4} \left\{ \frac{1}{D_+(q_0)} + \frac{1}{D_-(q_0)} \right\}, \quad (10)$$

where $D_\pm(q_0) = q_0^2 - (E^\pm)^2 - |\Delta|^2$, $E^\pm = E \pm \mu$, $E = \sqrt{\vec{q}^2 + M^2}$, and $M = m_o + \sigma_o$ is the constituent quark mass. In these and other similar expressions, q_0 is a shorthand notation for $q_0 + i\varepsilon \cdot \text{sgn}(q_0)$, where the limit $\varepsilon \rightarrow 0_+$ must be taken at the end of all calculations. This prescription correctly implements the role of μ as chemical potential and preserves the causality of the theory (see, e. g. [29]).

Let us now make a field shift $\sigma(x) \rightarrow \sigma(x) + \sigma_o$, $\Delta^{*\delta}(x) \rightarrow \Delta^{*\delta}(x) + \Delta_o^{*\delta}$, $\Delta^\delta(x) \rightarrow \Delta^\delta(x) + \Delta_o^\delta$ and then expand the expression (5) into a power series of the meson and diquark fields. The second-order term $\mathcal{S}_{\text{eff}}^{(2)}$ of this expansion is responsible for the mass spectrum of mesons and diquarks. It looks like

$$\begin{aligned} \mathcal{S}_{\text{eff}}^{(2)}(\sigma, \vec{\pi}, \Delta^\delta, \Delta^{*\delta}) = & - \int d^4x \left[\frac{\sigma^2 + \vec{\pi}^2}{4G_1} + \frac{\Delta^\delta \Delta^{*\delta}}{4G_2} \right] + \\ & + \frac{i}{4} \text{Tr}_{\text{sfcx}} \left\{ S_0 \begin{pmatrix} \Sigma^+, & -K^- \\ -K^+, & \Sigma^- \end{pmatrix} S_0 \begin{pmatrix} \Sigma^+, & -K^- \\ -K^+, & \Sigma^- \end{pmatrix} \right\}, \end{aligned} \quad (11)$$

where S_0 is the quark propagator, which is an evident 2×2 matrix in the Nambu–Gor’kov space

$$S_0 = \begin{pmatrix} D_o^+ & K_o^- \\ K_o^+ & D_o^- \end{pmatrix}^{-1} \equiv \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad (12)$$

whose matrix elements can be found by means of the projection operator technique [30]:

$$a = \int \frac{d^4q}{(2\pi)^4} e^{-iq(x-y)} \left\{ \frac{q_0 - E^+}{q_0^2 - (E^+)^2 + |\Delta|^2 \epsilon^3 \epsilon^3} \gamma^0 \bar{\Lambda}_+ + \frac{q_0 + E^-}{q_0^2 - (E^-)^2 + |\Delta|^2 \epsilon^3 \epsilon^3} \gamma^0 \bar{\Lambda}_- \right\}, \quad (13)$$

$$b = -i\Delta \epsilon^3 \int \frac{d^4q}{(2\pi)^4} e^{-iq(x-y)} \left\{ \frac{1}{q_0^2 - (E^+)^2 + |\Delta|^2 \epsilon^3 \epsilon^3} \gamma^5 \bar{\Lambda}_- + \frac{1}{q_0^2 - (E^-)^2 + |\Delta|^2 \epsilon^3 \epsilon^3} \gamma^5 \bar{\Lambda}_+ \right\}, \quad (14)$$

$$c = i\Delta^* \epsilon^3 \int \frac{d^4q}{(2\pi)^4} e^{-iq(x-y)} \left\{ \frac{1}{q_0^2 - (E^+)^2 + |\Delta|^2 \epsilon^3 \epsilon^3} \gamma^5 \bar{\Lambda}_+ + \frac{1}{q_0^2 - (E^-)^2 + |\Delta|^2 \epsilon^3 \epsilon^3} \gamma^5 \bar{\Lambda}_- \right\}, \quad (15)$$

$$d = \int \frac{d^4q}{(2\pi)^4} e^{-iq(x-y)} \left\{ \frac{q_0 + E^+}{q_0^2 - (E^+)^2 + |\Delta|^2 \epsilon^3 \epsilon^3} \gamma^0 \bar{\Lambda}_- + \frac{q_0 - E^-}{q_0^2 - (E^-)^2 + |\Delta|^2 \epsilon^3 \epsilon^3} \gamma^0 \bar{\Lambda}_+ \right\}, \quad (16)$$

where $\bar{\Lambda}_\pm = \frac{1}{2} \left(1 \pm \frac{\gamma^0 (\vec{\gamma} \vec{p} - M)}{E} \right)$ are projectors on the solutions of the Dirac equation with positive/negative energy, $\epsilon^3 \epsilon^3 = \text{diag}(-1, -1, 0)$ is the projector (up to a sign) in the color space. Quantities (13)–(16) are nontrivial operators in the coordinate, spinor, and color spaces, but they are unit operators in flavor space.

A tedious but straightforward analysis of $\mathcal{S}_{\text{eff}}^{(2)}$ shows that there arises a mixing between the σ and Δ^3 fields. We have

$$\mathcal{S}_{\text{eff}}^{(2)}(\sigma, \vec{\pi}, \Delta^\delta, \Delta^{*\delta}) = \mathcal{S}_{\pi\pi}^{(2)}(\vec{\pi}) + \mathcal{S}_{\sigma 3}^{(2)}(\sigma, \Delta^3, \Delta^{*3}) + \mathcal{S}_1^{(2)}(\Delta^1, \Delta^{*1}) + \mathcal{S}_2^{(2)}(\Delta^2, \Delta^{*2}), \quad (17)$$

where ($\rho = 1, 2$)

$$\mathcal{S}_\rho^{(2)}(\Delta^\rho, \Delta^{*\rho}) = - \int d^4x \frac{\Delta^\rho \Delta^{*\rho}}{4G_2} + \frac{i}{2} \text{Tr}_{\text{sfcx}} \left\{ a \Delta^\rho \epsilon^\rho \gamma^5 d \Delta^{*\rho} \epsilon^\rho \gamma^5 \right\}. \quad (18)$$

In the following we are going to search for gapless bosonic excitations of the 2SC quark-matter ground state of the model only. Since we are mainly interested in the diquark sector, the expression for the π -meson effective action $\mathcal{S}_{\pi\pi}^{(2)}(\vec{\pi})$ is omitted here. Moreover, $\mathcal{S}_{\sigma 3}^{(2)}(\sigma, \Delta^3, \Delta^{*3})$ has a rather cumbersome form, so we also do not show it. (If needed, both these expressions can be obtained immediately from (11).)

Before proceeding further, we should fix the parameters of our model. Here, we choose the parametrization procedure given in [14]. We simplify that model by ignoring the corrections to the pion-quark coupling constant that come from the mixing between the pion and axial-vector. To fix the model parameters, we require the model to reproduce the Goldberger-Treiman relation $Mg_\pi = F_\pi$, where $F_\pi \approx 93$ MeV is the pion weak-decay constant, and g_π is the pion-quark coupling constant determined in the local NJL model as follows

$$g_\pi^{-2} = \frac{-iN_c}{(2\pi)^4} \int \frac{d^4 q}{(q^2 - M^2)^2}. \quad (19)$$

(This integral is divergent, and a regularization is supposed to be implemented here. In our work we use a three-dimensional cutoff to make such integrals meaningful.)

Then, we require the observed pion mass (~ 140 MeV) to be reproduced by the local NJL model with our parameters. After this, one more condition is needed to complete the parameter fixing procedure. We consider two possibilities to finalize it: i) fix the model parameters so that the QCD sum rules estimate for the chiral condensate $\langle \bar{q}q \rangle \approx (-245 \text{ MeV})^3$ is reproduced in our model, ii) choose the model parameters in a way that allows one to obtain the correct description of the $\rho \rightarrow \pi\pi$ decay, as it was done in [14]. In the first case (let us refer to this set of parameters as set-A), the cutoff Λ is about 618 MeV, the four-quark interaction constant G_1 is equal to 5.86 GeV^{-2} . The remaining constant, G_2 , is chosen according to the Fierz-transformation as $G_2 = 3G_1/4 = 4.40 \text{ GeV}^{-2}$. The current quark mass m_o is fixed via the gap equations to the value: 5.7 MeV. The constituent quark mass in the vacuum (at zeroth temperature and chemical potential) amounts to 350 MeV.

In the second case, we obtain a different set of model parameters, which will be referred to, throughout the paper, as the set-B. Imposing the condition that an extension of this model to the vector channel would give the experimental value for the ρ -meson width (the ρ -meson decays mostly into a couple of charged pions, and the decay is described by the constant $g_\rho \approx 6.1$) and keeping in mind that in the local NJL model one gets a natural connection between g_ρ and g_π (discarding pion-axial-vector transitions), $\sqrt{6}g_\rho = g_\pi$, we obtain $\Lambda = 856$ MeV, $G_1 = 2.49 \text{ GeV}^{-2}$, $G_2 = 3G_1/4 = 1.87 \text{ GeV}^{-2}$, and $m_o = 3.6$ MeV. In the vacuum the constituent quark mass is 233 MeV, which is small, compared to the case, where the chiral condensate is used to fix the model parameters³. A similar procedure has been implemented in [27] in the chiral limit. Here, we keep the current quark mass non-zero in order to reproduce the pion mass in the vacuum.

Solving the gap equations at a fixed chemical potential, we obtain the constituent quark mass M and the color gap Δ that satisfy the global minimum of the effective potential. In Figs. 1 and 2, one can see the quark mass M and the color gap Δ vs. the chemical potential for parameter sets A and B. For the parameter set A, one observes a first-order phase transition from hadron matter to the 2SC phase. The gaps (constituent quark mass and color gap) change abruptly when the chemical potential reaches the vacuum value of M (see Fig. 1). On the contrary, if the parameter set-B is chosen, the gaps reveal a rather smooth character near the phase transition, which is typical for a phase transition of the second-order (see Fig. 2).

III. GAPLESS EXCITATIONS IN THE DIQUARK SECTORS

Since Δ^1 in (17) is not mixed with other fields, let us, first of all, focus on the diquark Δ^1 -sector. If $\Delta^1(x) = (\varphi_1(x) + i\varphi_2(x))/\sqrt{2}$, $\Delta^{*1}(x) = (\varphi_1(x) - i\varphi_2(x))/\sqrt{2}$, then the effective action $\mathcal{S}_1^{(2)}(\Delta^1, \Delta^{*1})$ from (17) can be presented in the form:

$$\mathcal{S}_1^{(2)}(\Delta^1, \Delta^{*1}) \equiv \mathcal{S}_1^{(2)}(\varphi_1, \varphi_2) = -\frac{1}{2} \int d^4 x d^4 y \varphi_k(x) \Gamma_{kl}(x - y) \varphi_l(y), \quad (20)$$

where the 2×2 matrix $\Gamma(x - y)$ is the inverse propagator of the φ_1, φ_2 -fields (summation over $k, l = 1, 2$ is implied in (20)). Its matrix elements can be found via a second variation of $\mathcal{S}_1^{(2)}$:

$$\Gamma_{kl}(x - y) = -\frac{\delta^2 \mathcal{S}_1^{(2)}}{\delta \varphi_l(y) \delta \varphi_k(x)}. \quad (21)$$

³ A larger quark mass can be obtained if one takes into account the mixing between the pion and axial-vector. However, we omit it in our paper for simplicity and for the reason that this mixing is negligible in the 2SC phase.

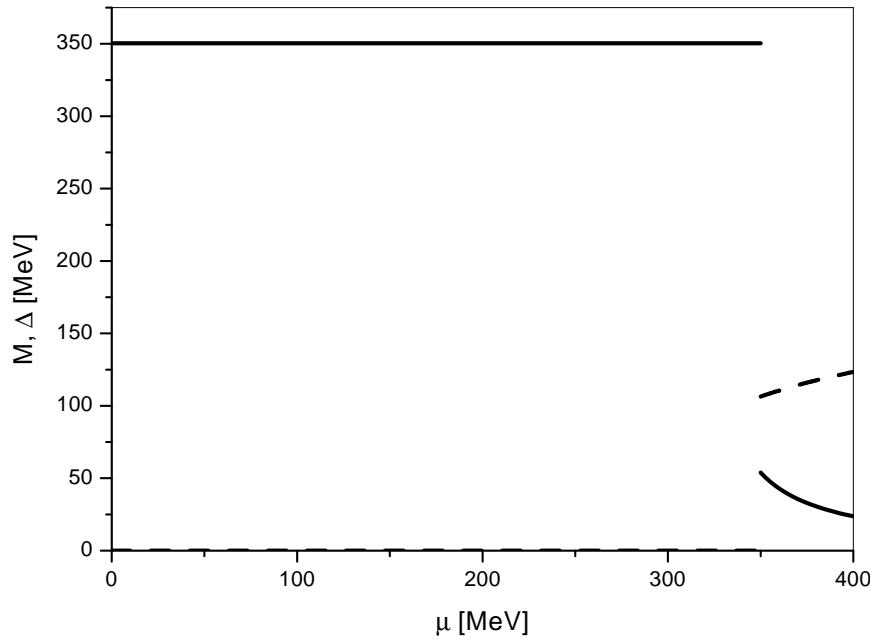


FIG. 1: The constituent quark mass M (solid line) and the color gap Δ (dashed line) as functions of the chemical potential (parameter set-A).

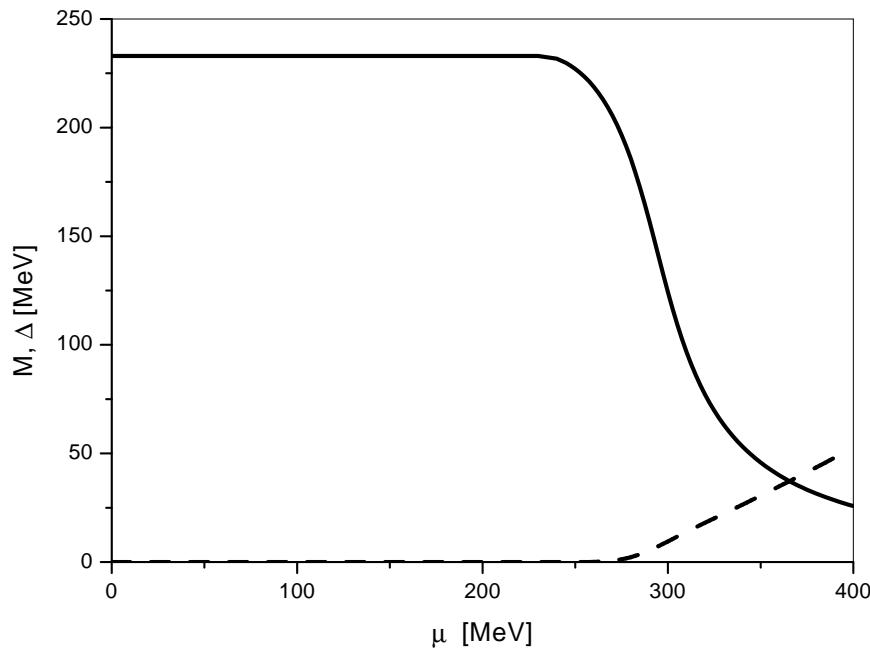


FIG. 2: The constituent quark mass M (solid line) and the color gap Δ (dashed line) as functions of the chemical potential (parameter set-B).

In momentum space, the Fourier-transformed components of the Γ -matrix have the following structure

$$\overline{\Gamma_{11}}(p) = \overline{\Gamma_{22}}(p) = \frac{1}{2} (\overline{\Gamma_{\Delta^*\Delta}}(p) + \overline{\Gamma_{\Delta^*\Delta}}(-p)), \quad \overline{\Gamma_{12}}(p) = -\overline{\Gamma_{21}}(p) = \frac{i}{2} (\overline{\Gamma_{\Delta^*\Delta}}(p) - \overline{\Gamma_{\Delta^*\Delta}}(-p)). \quad (22)$$

The derivation of these relations is given in Appendix, where the quantity $\overline{\Gamma_{\Delta^*\Delta}}(p)$ is also presented (see eq. (A5)). The particle dispersion laws are defined by zeros of $\det\overline{\Gamma}(p)$ in the p_0 -plane, i. e. by the equation:

$$\det\overline{\Gamma}(p) = \overline{\Gamma_{11}}(p)\overline{\Gamma_{22}}(p) - \overline{\Gamma_{12}}(p)\overline{\Gamma_{21}}(p) = \overline{\Gamma_{\Delta^*\Delta}}(p)\overline{\Gamma_{\Delta^*\Delta}}(-p) = 0. \quad (23)$$

Clearly, the solutions of (23) with positive/negative p_0 correspond to particles/antiparticles. Let us first put $p = (p_0, 0, 0, 0)$. In this case, $\det\overline{\Gamma}(p_0)$ is an even function of p_0 , so the solutions of eq. (23) in the p_0^2 -plane might be searched for. They are the squared masses of excited states in the Δ^1 -sector of the model. Moreover, all these formulae are then greatly simplified. Indeed, it follows from (A5) at $\vec{p}^2 = 0$ that

$$\overline{\Gamma_{\Delta^*\Delta}}(p_0) = 4ip_0 \int \frac{d^4q}{(2\pi)^4} \left\{ \frac{1}{(p_0 + q_0 + E^+)D_+(q_0)} + \frac{1}{(p_0 + q_0 - E^-)D_-(q_0)} \right\} \equiv -4p_0 H(p_0), \quad (24)$$

where it is possible to integrate over q_0 , using the following prescription: $(p_0 + q_0) \rightarrow (p_0 + q_0) + i\varepsilon \cdot \text{sgn}(p_0 + q_0)$ and $q_0 \rightarrow q_0 + i\varepsilon \cdot \text{sgn}(q_0)$ with $\varepsilon \rightarrow 0_+$ (see also comments after formula (10)), and

$$H(p_0) = -\frac{1}{2} \int \frac{d^3q}{(2\pi)^3} \left\{ \frac{1}{(p_0 + E^+ + E_\Delta^+)E_\Delta^+} + \frac{\theta(E^-)}{(p_0 - E^- - E_\Delta^-)E_\Delta^-} + \frac{\theta(-E^-)}{(p_0 - E^- + E_\Delta^-)E_\Delta^-} \right\}. \quad (25)$$

In (25) $E_\Delta^\pm = \sqrt{(E^\pm)^2 + |\Delta|^2}$. Since the integral in the RHS of this equation is ultraviolet divergent, we regularize it, as the other divergent integrals, by using a three-dimensional cutoff Λ , i. e. $\vec{q}^2 \leq \Lambda^2$. Then, eq. (23) is transformed to

$$p_0^2 H(p_0) H(-p_0) = 0, \quad (26)$$

from which it is evident that there is a solution $p_0^2 = 0$, corresponding to a gapless excitation of the 2SC ground state. Since the function $H(p_0)$ does not vanish at $p_0 = 0$ and $\mu \neq 0$, this solution may be identified in the case of finite \vec{p}^2 with a NG-boson with the quadratic dispersion law: $p_0 \sim \vec{p}^2/H(0)$ (see Appendix). Now, let us suppose that at some nonzero value $p_0 = -m_1$ the function $H(p_0)$ has a zero, i. e. $H(-m_1) = 0$. Then, $\det\overline{\Gamma}(p_0)$ has two zeroes $p_0 = \pm m_1$, i. e. the point $p_0^2 = m_1^2$ is a solution of eq. (26), and the second bosonic excitation of this sector has the nonzero mass m_1 . In the low- p_0 expansion we have: $H(p_0) = H(0) + p_0 H'(0) + \dots$, where

$$\begin{aligned} H(0) &= \frac{1}{2} \int \frac{d^3q}{(2\pi)^3} \left\{ \frac{\theta(E^-)}{(E^- + E_\Delta^-)E_\Delta^-} + \frac{\theta(-E^-)}{(E^- - E_\Delta^-)E_\Delta^-} - \frac{1}{(E^+ + E_\Delta^+)E_\Delta^+} \right\}, \\ H'(0) &= \frac{1}{2} \int \frac{d^3q}{(2\pi)^3} \left\{ \frac{\theta(E^-)}{E_\Delta^-(E^- + E_\Delta^-)^2} + \frac{\theta(-E^-)}{(E^- - E_\Delta^-)^2 E_\Delta^-} + \frac{1}{E_\Delta^+(E^+ + E_\Delta^+)^2} \right\}. \end{aligned} \quad (27)$$

Thus, in this approximation $m_1 = H(0)/H'(0)$. The m_1 vs. μ plots for particular values of the coupling constants G_1, G_2 and the cutoff parameter Λ discussed at the end of section 2 (or, equivalently, for the values Δ and M from Figs. 1 and 2) are presented in Figs. 3 and 4. In Fig. 3 the values of m_1 are calculated for $\mu = 350 \div 400$ MeV when the parameter set-A is involved. The leftmost point corresponds to the condition of the phase transition ($\mu \approx 350$ MeV). At smaller μ the color gap equals zero and eqs. (27) cannot be applied, since they have been derived by using eq. (10) with $\Delta \neq 0$. Similarly, in Fig. 4 the axis μ begins with 250 MeV. At smaller μ , (but greater than 233 MeV), the value of m_1 is almost zero. Common to both parameter sets A and B is that the values of m_1 are small and thus justify the series expansion of the function $H(p_0)$ around $p_0 = 0$.⁴ Analogous results are obtained for the Δ^2 -sector of the model. There, as in the Δ^1 -sector, only one massless boson with the quadratic dispersion law as well as a massive one with the mass $m_2 \equiv m_1$ are found. Evidently, the two massless and two massive bosons in the Δ^1, Δ^2 -sectors form antidoublets with respect to the unbroken $SU_c(2)$ symmetry.

Now let us consider the sector with mixing of the complex diquark Δ^3 -field and the scalar σ -meson. Introducing new real fields: $\phi(x) = (\Delta^3(x) + \Delta^{*3}(x))/\sqrt{2}$, $\psi(x) = i(\Delta^{*3}(x) - \Delta^3(x))/\sqrt{2}$, we rewrite the effective action $\mathcal{S}_{\sigma 3}^{(2)}$ (here we omit the cumbersome expression for this quantity, however it can be easily reproduced from (11)) in the following form:

$$\mathcal{S}_{\sigma 3}^{(2)}(\sigma, \Delta^3, \Delta^{*3}) = -\frac{1}{2} \int d^4x d^4y (\sigma(x), \phi(x), \psi(x)) \Pi(x-y) (\sigma(y), \phi(y), \psi(y))^t, \quad (28)$$

⁴ The numerical investigation shows that the mass value m_1 found in the low- p_0 approximation (see Figs. 3 and 4) differs from the exact solution of the equation $H(m_1) = 0$ by no more than 10%.

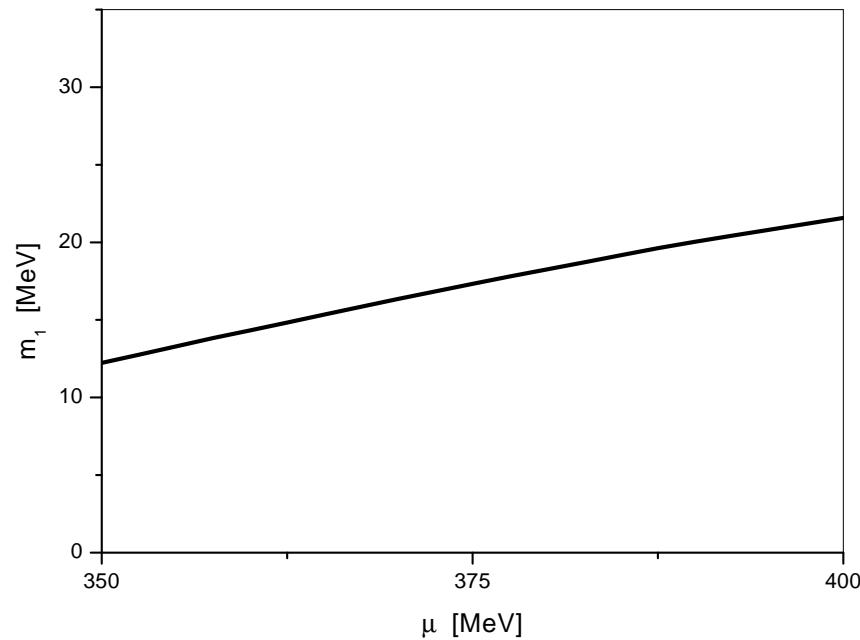


FIG. 3: The diquark mass $m_1 = H(0)/H'(0)$ as a function of the chemical potential (parameter set-A).

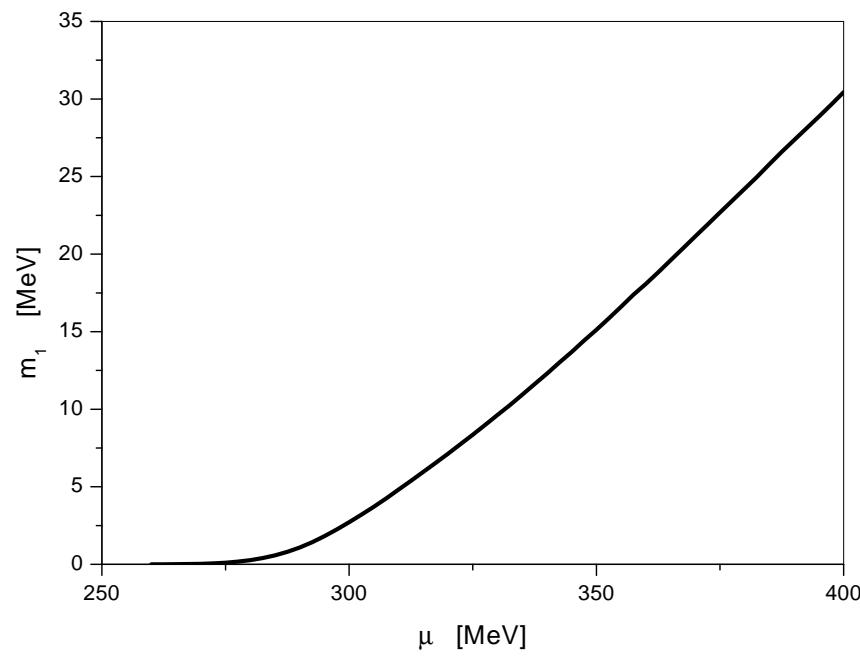


FIG. 4: The diquark mass $m_1 = H(0)/H'(0)$ as a function of the chemical potential (parameter set-B).

where $\Pi(x - y)$ is the inverse propagator of the σ -meson and the diquark fields ϕ, ψ . Clearly, it is a 3×3 matrix, whose elements can be obtained from (28) by taking all second variational derivatives of $S_{\sigma 3}^{(2)}$ over the fields σ, ϕ , and ψ . We have calculated the momentum-space matrix $\bar{\Pi}(p)$ (corresponding explicit formulae and relations are omitted), and then, putting $p = (p_0, 0, 0, 0)$, found that $\det(\bar{\Pi}(p_0))$ has only one zero at $p_0^2 = 0$. Therefore, only one NG-boson, which is an $SU_c(2)$ -singlet, exists in this sector (as in the Δ^1, Δ^2 -sectors, each zero of $\det(\bar{\Pi}(p_0))$ in the p_0^2 -plane means the mass squared of the ground-state excitation, this time in the mixed σ - Δ^3 sector).⁵

As it was mentioned above, in the 2SC phase of the model, where $\Delta \neq 0$, the color $SU_c(3)$ symmetry of the ground state is spontaneously broken down to the $SU_c(2)$ group. Hence, in accordance with the Goldstone theorem, it is generally expected that five NG-bosons would appear in the theory. However, we have proved that in the $\Delta^1, \Delta^2, \Delta^3$ -sectors of the model only three massless bosons exist, which can be identified with NG-bosons. Therefore, there seems to be a deficiency of two NG-bosons in the framework of the model under consideration. In spite of this fact, there are, however, no contradictions with the NC theorem, since two of the three found NG-bosons have quadratic dispersion laws for $|\vec{p}| \rightarrow 0$. In addition, the model contains also light massive diquarks.

Usually, in the framework of the NJL model, the 2SC phase is studied in the region of coupling constants (2). In this case, at $\mu = 0$ we have a chirally noninvariant phase even if $m_o \rightarrow 0$, and the transition to the 2SC phase occurs at some finite value of the chemical potential. Formally, however, one can consider the region

$$\omega = \{(G_1, G_2) : G_2 > \pi^2/(4\Lambda^2), \quad \pi^2(G_2 - G_1) > 2G_1G_2\Lambda^2\}, \quad (29)$$

where (even at $\mu = 0$) the 2SC phase is realized [31]. As can easily be seen from (27), in the case of $\mu = 0$ we have $H(0) = 0$ and $m_1 = m_2 = 0$, and the total number of gapless excitations (NG-bosons) in the Δ^1 - and Δ^2 -sectors of the model equals four. They form two massless $SU_c(2)$ -antidoublets. Apart from this, there is one NG-boson, which is an $SU_c(2)$ -singlet, in the mixed σ - Δ^3 sector. Naturally, all these excitations have a linear dispersion law, as it should be in the relativistically invariant case (see Appendix). However, at an arbitrary small μ , particles from one of these antidoublets acquire nonzero masses, and the dispersion laws for the two massless particles from the remaining antidoublet are changed essentially from the linear to quadratic laws.

Note finally, that in the above consideration we used the real/imaginary part parametrization for diquark fields:

$$\Delta^1(x) = (\varphi_1(x) + i\varphi_2(x))/\sqrt{2}, \quad \Delta^2(x) = (\tilde{\varphi}_1(x) + i\tilde{\varphi}_2(x))/\sqrt{2}, \quad \Delta^3(x) - \Delta = (\phi(x) + i\psi(x))/\sqrt{2}, \quad (30)$$

where $\Delta^\rho(x)$ are the unshifted diquark fields from Lagrangian (3) and $\Delta = \langle \Delta^3 \rangle$. However, one can use also an alternative "polar coordinate" parametrization:

$$\begin{pmatrix} \Delta^1(x) \\ \Delta^2(x) \\ \Delta^3(x) \end{pmatrix} = \exp \left\{ -i \sum_a \frac{\lambda_a^t \xi_a(x)}{\sqrt{2}\Delta} \right\} \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 0 \\ \sqrt{2}\Delta + \eta(x) \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \xi_5 - i\xi_4 \\ \xi_7 - i\xi_6 \\ \sqrt{2}\Delta + \eta + i\frac{2}{\sqrt{3}}\xi_8 \end{pmatrix} + o(\xi_i, \eta), \quad (31)$$

where λ_a are Gell-Mann matrices and the summation over $a = 4, \dots, 8$ is implied (the form of an exponential multiplier in (31) corresponds to the fact that $\Delta^\rho(x)$ is an $SU_c(3)$ -antitriplet). Comparing (30) and (31) at small ξ_i, η , we see that

$$\varphi_1 = \xi_5, \quad \varphi_2 = -\xi_4, \quad \tilde{\varphi}_1 = \xi_7, \quad \tilde{\varphi}_2 = -\xi_6, \quad \phi = \eta, \quad \psi = \frac{2}{\sqrt{3}}\xi_8. \quad (32)$$

Further, one should insert (31) into (5) and expand the resulting expression into a series of meson- and ξ_a, η fields. It is easily seen that in the second order of the new variables the effective action is a sum similar to (17). In particular, it means that the diquark fields ξ_4, ξ_5 are decoupled from other fields, and their effective action looks like (20) with the replacement (32) used. So, some elements of the inverse propagator matrix for ξ_4, ξ_5 fields differ by a sign from $\Gamma_{kl}(x - y)$ (21), but the determinant of the whole momentum space matrix is not changed. Hence, the mass spectrum, the dispersion laws etc., in the ξ_4, ξ_5 -fields are the same, as in the case with old fields φ_1, φ_2 . Similar conclusions are valid for the rest of new variables, i. e. such physical characteristics of the model, as particle masses, dispersion laws etc., do not depend on the choice of field parametrizations, (30) or (31). In particular, the number of abnormal NG-bosons, which equals three in the model under consideration, is a parametrization invariant quantity⁶.

⁵ A detailed investigation of the meson/diquark spectrum including the σ - Δ^3 mixing is in preparation.

⁶ In papers [4, 5] an abnormal number of NG-bosons in the same $SU(2) \times U(1)$ toy model was discovered. But in [4] the parametrization (30) was used for the scalar doublet, whereas in [5] a "polar coordinate" parametrization, similar to (31), was used. Evidently, their results coincide, i.e. the abnormal number of NG-bosons, particle masses, formulae for dispersion laws are the same.

IV. COLOR-ASYMMETRY AND MASSES OF SCALAR DIQUARKS

As it was noted in [12], the color superconducting phase is automatically color-neutral in QCD, but this does not hold for the NJL model under consideration. Massive scalar diquarks and, as a consequence, the abnormal number of NG-bosons in the model discussed in previous sections testify for this. As mentioned in the Introduction, for the number of NG-bosons to equal the number of broken generators of the underlying symmetry group (in our case $SU_c(3)$), the ground-state expectation value for the commutator of any two broken symmetry generators Q_a and Q_b must be zero: $\langle [Q_a, Q_b] \rangle = 0$ [5]. This criterion is not fulfilled for the NJL model considered here, namely, the ground state expectation value of the color charge operator $Q_8 = \bar{q}\gamma^0\lambda^8q$ does not vanish, and, therefore, the usual counting rule for NG-bosons is not applicable.

Let us look at the function $H(p_0)$ at $p_0 = 0$. One can rewrite the expression (27) in a different form:

$$H(0) = \frac{1}{2} \int \frac{d^3q}{(2\pi)^3} \frac{1}{\Delta^2} \left(-2\theta(\mu - E) + \frac{E^+}{E_\Delta^+} - \frac{E^-}{E_\Delta^-} \right). \quad (33)$$

This formula can be expressed in terms of quark densities. For this purpose, we need the expression of the thermodynamical potential of the system, which in the mean-field approximation is equal to the value of V_{eff} in its global minimum point, supplied by the gap equations, i. e.

$$\Omega(\mu) = V_{\text{eff}}(\sigma_o, \vec{\pi}_o, \Delta_o^\delta, \Delta_o^{*\delta}), \quad (34)$$

where V_{eff} was given in (8). Further, one can divide the thermodynamical potential into several terms:

$$\Omega(\mu) = \sum_{c=1}^3 \Omega_c(\mu) + \frac{(M - m_o)^2}{4G_1} + \frac{|\Delta|^2}{4G_2}, \quad (35)$$

where $\Omega_c(\mu)$ is the contribution from the color- c quark, defined as follows:

$$\begin{aligned} \Omega_1(\mu) = \Omega_2(\mu) &= -2 \int \frac{d^3q}{(2\pi)^3} (E_\Delta^+ + E_\Delta^-), \\ \Omega_3(\mu) &= -2 \int \frac{d^3q}{(2\pi)^3} (|E^+| + |E^-|). \end{aligned} \quad (36)$$

The contribution from color-1 and color-2 quarks, $\Omega_{1(2)}(\mu)$ are equal due to the remaining $SU_c(2)$ color symmetry after the global $SU_c(3)$ symmetry group is spontaneously broken in the color superconducting phase. The third color quark, which does not condensate, gives $\Omega_3(\mu)$. Then, one can calculate the densities n_c of color-1, -2, and -3 quarks separately without introducing additional chemical potentials for each color simply by differentiating $\Omega_c(\mu)$ over μ , i. e.

$$n_c = -\frac{\partial \Omega_c(\mu)}{\partial \mu}, \quad c = 1, 2, 3; \quad (37)$$

$$n_1 = n_2 = 2 \int \frac{d^3q}{(2\pi)^3} \left(\frac{E^+}{E_\Delta^+} - \frac{E^-}{E_\Delta^-} \right), \quad n_3 = 4 \int \frac{d^3q}{(2\pi)^3} \theta(\mu - E). \quad (38)$$

Eq. (33) can thus be transformed to

$$H(0) = \frac{1}{8\Delta^2} (n_1 + n_2 - 2n_3) = \frac{\sqrt{3}\langle Q_8 \rangle}{8\Delta^2}. \quad (39)$$

From eq. (39) and the fact that the diquark mass $m_1 = H(0)/H'(0)$ is found to be nonzero (see Figs. 3 and 4), one concludes that the 2SC-ground state in the NJL model under consideration has finite color charge $\langle Q_8 \rangle$. Evidently, this fact is related to the inequality of color quark densities $n_{1,2} > n_3$ for paired (1,2) and unpaired (3) quarks, i. e. to color-asymmetry. Moreover, as discussed in Appendix, the nonzero value of $H(0)$ is responsible for the abnormal dispersion law $p_0 \sim \vec{p}^2/H(0)$ for two NG-bosons.

To restore the local color neutrality in the NJL model, one can, e. g., include an additional term, $\mu_8 Q_8$, and impose the color neutrality condition:

$$\langle Q_8 \rangle = -\frac{\partial \Omega(\mu, \mu_8)}{\partial \mu_8} = 0, \quad (40)$$

or consider the superconducting matter as composed of colored domains, with the global color charge being equal zero. The latter, however, goes beyond the mean-field approximation exploited here and should be considered as an

approximation for the case of large colored domains. Anyway, the true ground state should be checked to give the absolute minimum of the thermodynamical potential.

The inclusion of an additional chemical potential μ_8 “by hand”, however, does not contradict QCD. As discussed in [12], a non-vanishing expectation value of the gluon field A_0^8 , corresponding to the eighth generator in the $SU_c(3)$ group, gives rise to a non-vanishing value of μ_8 , which then cancels certain tadpole contributions and restores the color neutrality. This cannot occur in the NJL model automatically because there are no gluons in it.

V. SUMMARY AND DISCUSSIONS

Recently, it has been shown that in QCD with a nonzero strangeness chemical potential the number of NG-bosons can be less than the number of broken symmetry generators [4, 5], so that the usual counting rule for NG-bosons evidently does not hold. In the present paper, we have presented another relativistic model providing us with an abnormal number of NG-bosons. This is an NJL-type model with two light-quark flavors, where a single quark chemical potential is taken into account. In the color superconducting phase of this model, the color $SU_c(3)$ group is spontaneously broken down to $SU_c(2)$, i. e. the number of broken symmetry generators equals five. Despite of this fact, there appeared only three NG-bosons in the 2SC phase; two of them form an antidoublet with respect to the unbroken $SU_c(2)$ subgroup and have quadratic dispersion laws. The remaining one is an $SU_c(2)$ -singlet, so there are no contradictions with the NC theorem [3]. Moreover, there exists an $SU_c(2)$ -antidoublet of light diquarks Δ^1, Δ^2 with masses m_1 , the behavior of which at final chemical potential μ is shown in Figs. 3 and 4 for the parameter sets A and B, respectively.

Most interestingly, we found that the diquark masses $m_1 = H(0)/H'(0)$ are proportional to the ground state average of the color charge operator $\langle Q_8 \rangle = 1/\sqrt{3}(n_1 + n_2 - 2n_3)$. Here, the quark densities n_1, n_2 of paired quarks with color-1,2 are equal due to the remaining $SU_c(2)$ symmetry and larger than the density n_3 of the unpaired color-3 quark. Thus, the ground state of the considered NJL-type model is evidently color-asymmetric. It is just the appearance of this nonvanishing expectation value $\langle Q_8 \rangle$ which simultaneously leads to a violation of the criterion for the equality of the number of NG-bosons and broken symmetry generators [5], and to the appearance of the quadratic dispersion law for the NG-diquarks (compare eqs. (38), (A6)).

In the investigations of color superconductivity in the two-flavored QCD, five scalar NG-bosons are argued to exist. They are mixing with gluons and required as longitudinal components for five massive gluons (color Meissner effect) [10]. The fact that we find an abnormal number of three NG-bosons does not directly contradict QCD. Namely, in the color superconducting phase of QCD a nonvanishing condensate of the eighth gluon field component A_0^8 appears, which induces a color chemical potential μ_8 and cancels certain tadpole contributions, responsible for the nonvanishing color charge of the ground state [12]. This mechanism just leads to color neutrality. Obviously, there arises the question, how can one reconcile the considered NJL approach with QCD? Insofar as NJL-type models do not contain gluon fields, the required contribution from the condensed eighth gluon field does not follow automatically. Therefore, the tadpole contribution becomes unbalanced by gluon contributions. It can be cancelled “by hand”, e. g. with the help of the additional color chemical potential μ_8 simulating the omitted gluon contribution. In such enlarged NJL models the condition of color neutrality of the ground state, i. e. $\langle Q_8 \rangle = 0$, can be imposed as an additional physical requirement [11]. According to our results, one gets in this case two additional NG-bosons as well as a change of quadratic dispersion laws into normal linear ones. Thus, the abnormal number of three NG-bosons might, in principle, become converted into the normal number five found in QCD.

Nevertheless, what to do now with these five NG-bosons arising in the color neutral 2SC-phase of an enlarged NJL-type model? Clearly, in the framework of the standard NJL model one cannot apply the color Meissner effect, in order to absorb these NG-bosons into longitudinal degrees of freedom of massive gluons. A possible way out of this problem could be an extension of the NJL mode via the inclusion of perturbative gluons, fluctuating around the original low-energy gluonic fields (realized e. g. by instantons or other non-perturbative background fields, which were already integrated out to yield the effective four-quark interactions) [32]. Obviously, the color Meissner effect could then be realized for such perturbative gluons.

In addition, let us remark that the above discussions refer to the case of locally neutral quark matter, where color neutrality should indeed be required. On the other hand, global color neutrality does not demand local color neutrality: for example, one can assume the ground state as being composed of colored domains. Anyway, to decide which of the considered ground states is in favor, the absolute minimum of the thermodynamic potential should be calculated in each case. (Note that the presence of domains means a violation of translational invariance and is not consistent with the simple mean-field approach.)

Finally, notice that in the framework of the considered NJL-type of model there arises a mixing between the σ -meson and Δ^3 -diquark in the 2SC phase. The behavior of σ -, Δ^3 - as well as π -meson masses *vs.* μ in the 2SC phase is now under consideration.

Acknowledgments

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APPENDIX A: THE Γ -MATRIX ELEMENTS AND DISPERSION LAWS

Using the effective action (18), let us introduce auxiliary quantities

$$\begin{aligned}\Gamma_{\Delta^*\Delta}(z) &= -\frac{\delta^2 \mathcal{S}_1^{(2)}}{\delta \Delta^1(y) \delta \Delta^{*1}(x)} = \frac{\delta(z)}{4G_2} - i \text{Tr}_{sc} \{a(z)\epsilon^1\gamma^5 d(-z)\epsilon^1\gamma^5\}, \\ \Gamma_{\Delta\Delta^*}(z) &= -\frac{\delta^2 \mathcal{S}_1^{(2)}}{\delta \Delta^{*1}(y) \delta \Delta^1(x)} = \frac{\delta(z)}{4G_2} - i \text{Tr}_{sc} \{d(z)\epsilon^1\gamma^5 a(-z)\epsilon^1\gamma^5\},\end{aligned}\quad (\text{A1})$$

where $\delta(z)$ is the Dirac δ -function, $z = x - y$ and $a(z), d(z)$ are operators (13) and (16), respectively. Since $\delta^2 \mathcal{S}_1^{(2)} / (\delta \Delta^1 \delta \Delta^1) = \delta^2 \mathcal{S}_1^{(2)} / (\delta \Delta^{*1} \delta \Delta^{*1}) = 0$ and

$$\frac{\delta}{\delta \varphi_1} = \frac{1}{\sqrt{2}} \left(\frac{\delta}{\delta \Delta} + \frac{\delta}{\delta \Delta^*} \right), \quad \frac{\delta}{\delta \varphi_2} = \frac{i}{\sqrt{2}} \left(\frac{\delta}{\delta \Delta} - \frac{\delta}{\delta \Delta^*} \right),$$

the matrix elements of the inverse propagator matrix (21) have the form:

$$\Gamma_{11}(z) = \Gamma_{22}(z) = \frac{1}{2} (\Gamma_{\Delta^*\Delta}(z) + \Gamma_{\Delta\Delta^*}(z)), \quad \Gamma_{12}(z) = -\Gamma_{21}(z) = \frac{i}{2} (\Gamma_{\Delta^*\Delta}(z) - \Gamma_{\Delta\Delta^*}(z)). \quad (\text{A2})$$

For an arbitrary function $F(z)$, it is possible to define the Fourier-transformed one, $\overline{F}(p)$, by the relation

$$\overline{F}(p) = \int d^4 z F(z) e^{ipz}, \quad \text{i. e.} \quad F(z) = \int \frac{d^4 p}{(2\pi)^4} \overline{F}(p) e^{-ipz}. \quad (\text{A3})$$

Now, using (A3), it is possible to get from (A1)

$$\begin{aligned}\overline{\Gamma_{\Delta^*\Delta}}(p) &= \frac{1}{4G_2} - i \text{Tr}_{sc} \int \frac{d^4 q}{(2\pi)^4} \{\bar{a}(q+p)\epsilon^1\gamma^5 \bar{d}(q)\epsilon^1\gamma^5\}, \\ \overline{\Gamma_{\Delta\Delta^*}}(p) &= \frac{1}{4G_2} - i \text{Tr}_{sc} \int \frac{d^4 q}{(2\pi)^4} \{\bar{d}(q+p)\epsilon^1\gamma^5 \bar{a}(q)\epsilon^1\gamma^5\},\end{aligned}\quad (\text{A4})$$

where the Fourier-transformed expressions $\bar{a}(q)$, $\bar{d}(q)$ can be easily derived from (13), (16). It follows from (A4) that $\overline{\Gamma_{\Delta^*\Delta}}(-p) = \overline{\Gamma_{\Delta\Delta^*}}(p)$. So, taking into account (A2), one can easily obtain the relations (22).

After tedious calculations we arrive at the expression

$$\begin{aligned}\overline{\Gamma_{\Delta^*\Delta}}(p) &= \frac{4ip_0}{(2\pi)^4} \int \frac{d^4 q}{[(p_0 + q_0 + \mu)^2 - E_{p+q}^2]} \left[\frac{p_0 + q_0 + \mu + E_q}{D_-(q_0)} + \frac{p_0 + q_0 + \mu - E_q}{D_+(q_0)} \right] - \\ &- \frac{4i}{(2\pi)^4} \int \frac{d^4 q}{[(p_0 + q_0 + \mu)^2 - E_{p+q}^2]} \left[\frac{E_q \vec{p}^2 + (q_0 + \mu + E_q)(\vec{p} \cdot \vec{q})}{E_q D_-(q_0)} + \frac{E_q \vec{p}^2 - (q_0 + \mu - E_q)(\vec{p} \cdot \vec{q})}{E_q D_+(q_0)} \right].\end{aligned}\quad (\text{A5})$$

Here, the notations that were introduced after (10) are used. Moreover, $E_q \equiv E = \sqrt{\vec{q}^2 + M^2}$. Note, that expression (A5) is obtained under the assumption that $\Delta \neq 0$, i. e. it is valid only in the 2SC phase. In this case, the gap equation (10) was used to eliminate the coupling constant G_2 from relation (A4) in favour of other model parameters.

Quasiparticle dispersion laws are defined by (23) or, equivalently, by the two equations $\overline{\Gamma_{\Delta^*\Delta}}(\pm p) = 0$. One can easily see that at $\vec{p}^2 = 0$ the first integral in RHS of (A5) coincides with (24) having an evident zero at $p_0 = 0$. This is just the dispersion law of a gapless particle (NG-boson) at $\vec{p}^2 = 0$. Let us find the dispersion law for the NG-boson at small nonzero values of \vec{p}^2 , i. e. try to solve the equation $\overline{\Gamma_{\Delta^*\Delta}}(p) = 0$ at $p \rightarrow 0$. Expanding expression (A5) into a Taylor-series of (p_0, \vec{p}) at the point $(0, \vec{0})$ and taking into account only leading terms, we have, at $\mu \neq 0$, the following equation connecting the energy and momentum of the massless particle (in section III, it was proved that such a particle, NG-boson, exists in the Δ^1 -sector of the model):

$$\begin{aligned}\overline{\Gamma_{\Delta^*\Delta}}(p) &\equiv 4ip_0 H(0) - \frac{4i\vec{p}^2}{(2\pi)^4} \int \frac{d^4 q}{D_-(q_0)[(q_0 + \mu)^2 - E_q^2]} \left\{ 1 - \frac{2\vec{q}^2}{3E_q(q_0 + \mu - E_q)} \right\} - \\ &- \frac{4i\vec{p}^2}{(2\pi)^4} \int \frac{d^4 q}{D_+(q_0)[(q_0 + \mu)^2 - E_q^2]} \left\{ 1 + \frac{2\vec{q}^2}{3E_q(q_0 + \mu + E_q)} \right\} + \dots = 0,\end{aligned}\quad (\text{A6})$$

where the quantity $H(0)$ (which is nonzero at $\mu \neq 0$) is given in (27). Obviously, the quadratic dispersion law, $p_0 \sim \vec{p}^2$, for a massless particle follows from (A6). However, in case of relativistic invariance of the system (i. e. at $\mu = 0$ and for coupling constants from the region ω (29)), or for a color-neutral ground state, when $H(0) = 0$, the dispersion law for a NG-boson changes. Indeed, in this case the term $4ip_0H(0)$ from (A6) should be replaced by $4ip_0^2H'(0)$ ($H'(0)$ is presented in (27)), and one arrives at a linear dispersion law for NG-bosons.

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